

## INVESTMENT CONTENT

### 投資內容

Name of Client:

客戶名稱:

Account No.:

賬戶號碼:

Investment Objective  
投資目標

Aimed to achieve capital appreciation or reservation through active management of the Portfolio

旨在通過管理投資組合實現資本增值或保值

Investment Strategy  
投資策略

☐ Speculation 投機

☐ Capital Growth 資本增值

☐ Hedging 對沖

☐ Dividend 股息

☐ Capital Reservation 保本

☐ Others 其他 (Please specific 請註明) \_\_\_\_\_

Risk profile of the portfolio  
投資組合的風險

☐ Aggressive 進取

☐ Growth 增長

☐ Balanced 均衡

☐ Moderate 中度

☐ Conservative 保守

Investment Services/  
Asset classes  
投資服務 / 資產類別

☐ Deposit 存款

☐ Trading in Equities (including long and short trading – with the latter subject to mutual consent of the Company and the Client, as well as initial public offerings) & Collective Investment Schemes 股票 (包括長短倉買賣 - 後者須經本公司和客戶雙方同意, 與首次公開招股) 及集體投資計劃買賣

☐ Trading in Fixed Income Instruments 固定收益產品買賣

☐ Trading in Derivatives (including for hedging purpose strategy, including long and short trading and trading by margin financing) 衍生產品 (僅用於對沖目的, 包括長短倉買賣及保證金融資) 買賣

☐ Trading in Foreign Exchange Contracts 外匯合約買賣

☐ Others 其他 (Please specify 請註明: \_\_\_\_\_)

Geographical Location  
地域分佈

Investment Industry  
投資行業

Investable Amount  
可投資金額

Benchmark  
基準



Signature(s) of Client 客戶簽署

Date 日期:

**Maximum exposure of asset allocation of portfolio and investment restrictions**

**投資組合的資產分配上限和投資限制**

☐ No maximum exposure of asset allocation 沒有資產分配上限

☐ Please follow the table below 請依下表:

ASSET CLASS 資產類別	Maximum exposure of asset allocation 投資組合的資產分配上限 (% of Portfolio#) (佔投資組合的百分率#)
<b>Equities 股票</b> including but not limited to stocks, shares, options, warrants, index futures (for hedging purposes) 包括股票、股份、期權、權證、指數期貨（作對沖用途）	
<b>Fixed Income 固定收益</b> including but not limited to bonds, notes, debentures, loan stock, convertible bonds and treasury bills 包括債券、票據、債權證、借貸股票、可換股債券及國庫券	
<b>Cash 現金</b> including but not limited to deposits with financial institution, money market, and fixed income instruments 包括存於金融機構及貨幣市場的存款，以及固定收益產品	
<b>Alternative Investments / Derivatives 另類投資／衍生產品</b> forward foreign currency exchange contracts, currency swaps as a hedge against exchange risk, spot foreign exchange transactions, etc 遠期外匯合約，對沖外匯風險的外匯掉期，外匯現貨交易	
<b>Others 其他</b> <input type="checkbox"/> Financing for New I.P.O 新股上市之融資 <input type="checkbox"/> Others 其他 (Please specify 請註明): _____	 <input type="checkbox"/> <input type="checkbox"/>

# Portfolio = Investments held under this Agreement 投資組合 = 本協議下持有的投資項目

**Investment Restrictions 投資限制：**

☐ Nil 無

☐ Borrowing or Leverage other than short selling activities (as detailed under Investment Services above) is/are not allowed 不允許借貸或槓桿借貸(賣空股票(詳見上述「投資服務」)除外)

☐ Unlisted shares (except private placement relating to an initial public offer) are not allowed 不允許投資於非上市股份（首次公開招股有關的私人配售除外）

☐ Investment in the \_\_\_\_\_ market(s) is/are not allowed  
不允許投資於\_\_\_\_\_市場

☐ Investment in the \_\_\_\_\_ sector(s) is/are not allowed  
不允許投資於\_\_\_\_\_行業

☐ Investment in non-investment grade debt instrument(s) is/are not allowed  
不允許投資於屬非投資級的債務產品

☐ Investment in structured product(s) is/are not allowed  
不允許投資於結構性產品

Signature(s) of Client 客戶簽署

Date 日期: