



美國證券服務收費表 US Securities Service Charges

1. 證券交易服務 Securities Trading Services	
佣金	面議
結算費	每股美元 \$0.003, 最低每筆美元 \$0.3
結算費 (OTC)	每股美元 \$0.004, 最低每筆美元 \$0.4
證交會費 (僅賣單收取, 代美國證交會收取)	按成交金額的 0.00051%, 最低每筆美元 0.01 (收費率將按美國證交會每年不定時公佈而作出調整)
交易活動費 (僅賣單收取, 代美國金融業監管局收取)	每股美元 \$0.000145, 最低每筆美元 \$0.01, 最高每筆美元 \$7.27
現金賬戶過期結算利息	最優惠利率[註 1] + 5%
保證金賬戶過期結算利息	最優惠利率[註 1] + 3%
平台使用費	每筆美元 1

2. 代理人服務 Nominee Services	
FOP 轉倉	每股票轉出 \$100 美元, 轉入免費
代收股息稅 (代美國國家稅務局收取)	30%
代處理合股及拆股	每次合股/拆股 \$3 美元
代收股息服務費	股息 0.2%, 最低 \$0.01 美元
IPO 交收	1% (中籤市值一百萬美元以下) 協商費率(中籤市值一百萬美元或以上) 最低收費每隻 IPO 美元 1,000
美國預托證券(ADR) 托管費 (信托公司收取)	每股美元 \$0.02-\$0.05

3. 帳戶服務 Account Maintenance	
影印單據	每張 HK\$3
提供資產證明	每份 HK\$100
加印月結單	三個月內免費, 超過三個月每份 HK\$100
本地快遞郵件	順豐快遞行政費用 HK\$100
交易確認函	每份 HK\$500

4. 其它服務 Other Services	
退票	每宗處理收費 HK\$200
報失支票 (終止支票付款費)	按照成本費用扣除及手續費 HK\$100
補發支票 (發出後超過兩星期)	每宗處理收費 HK\$100
匯出款項 (轉帳、電匯等)	手續費 HK\$50, 另加銀行手續費
匯入款項 (轉帳、電匯等)	公司保留向客戶收取因匯入款項引致之銀行手續費的權利

備註:

1. 本公司之最優惠利率將根據中國銀行(香港)釐訂之最優惠利率作為參考而更改。
2. 本公司保留隨時調整上述收費之一切權利, 所有收費以本公司官方網站公佈為準, 不作另行通知。



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1. 證券交易服務 Securities Trading Services	
Commission	Negotiable
Settlement Fee	US \$0.003 per share, minimum charge of US \$0.3 each transaction
Settlement Fee (OTC)	US \$0.004 per share, minimum charge of US \$0.4 each transaction
SEC Membership Fee (only charged upon issuance of sales voucher)	0.00051% of transaction amount, minimum charge of US \$0.01 each transaction (Fee rate subject to change irregularly per calendar year based on US SEC policy)
Trading Activity Fee (only charged upon issuance of sales voucher on behalf of FINRA)	US \$0.000145 per share, round up to the nearest US \$0.01, maximum charge of US \$7.27 each transaction
Cash Account Overdue Settlement Interest	Prime Rate [Note 1] + 5%
Margin Account Overdue Settlement Interest	Prime Rate [Note 1] + 3%
System Fee	USD\$1 per order

2. 代理人服務 Nominee Services	
Free Of Payment Positions Transfer	US \$100 per stock for transfer-out, free of charge for transfer-in
Dividend Tax (charged by US Internal Revenue Service)	30%
Stock Merge, Stock Split	US \$3 per order
Collection Fee of Cash Dividend	0.2% dividend, minimum charge of US \$0.01
IPO Settlement	1% (allotment of market values which below US \$1000000) Negotiated rate (allotment of market values which above 1 million US dollar) Minimum charge of US \$1,000 each stock
ADR Pass-Thru Charge to Custodian	US \$0.02-\$0.05 per share

3. 帳戶服務 Account Maintenance	
Photocopy of Receipt	HK\$3 each copy
Asset Proof Support	HK\$100 each copy
Reprint Monthly Statement	Free of charge in the first 3 months, after that HK\$100 for each copy
Local Mail Service	HK\$100 administrative cost charged by SF express
Trade Confirmation (on special request)	HK\$500 each request

4. 其它服務 Other Services	
Refund	HK\$200 each case
Report Lost Cheque (Termination of Cheque Payment Fee)	HK\$100 handling fee after deducting the cost
Reissue Cheque (Two Weeks after Issued)	HK\$100 for each case
Outward Payment (CHATS, Remittance, etc.)	Handling Fee: HK\$50 with additional transaction cost charged by banks
Inward Payment (CHATS, Remittance, etc.)	The company reserves the right to charge customers for banks handling fees due to inward payments

Note:

- The company's prime interest rate will be changed based on the prime interest rate by Bank of China (Hong Kong) as a reference.
- The company reserves all rights to adjust the above charges at any time. All charges are subject to the announcement on the company's official website without prior notice.