

香港證券服務收費表 Hong Kong Securities Service Charges

1. 證券交易服務 Securities Trading Services	
佣金	面議
印花稅 (香港特別行政區費用)	每筆交易成交金額的 0.13% (以“元”為單位)，不足 1 元亦作 1 元計算
交易徵費 (香港證監會費用)	按成交金額的 0.0027%
交易徵費 (財匯局費用)	按成交金額的 0.00015% (由 2022 年 1 月 1 日生效)
交易費 (港交所費用)	按成交金額的 0.00565%
股票中央結算費	按成交金額之 0.005%，最低 HK\$5.5
現金賬戶過期結算利息	最優惠利率[註 1] + 5%
保證金賬戶過期結算利息	最優惠利率[註 1] + 3%
平台使用費	每單 15 港元

2. 股票期權佣金及收費 Stock Option Commission & Fee		
服務項目	收費	備註
電話/支援電話落盤	0.25%或最低港幣\$100，以較高者為準	符合以下條件，本公司系統會自動合單：同一日下單的同一股票期權合約(同月份、同行使價)，同方向(單邊買/賣)，及同一落盤渠道
交易系統使用費(聯交所)		
期權級別 1	港幣 3.0 元	以每張合約計算
期權級別 2	港幣 1.0 元	以每張合約計算
期權級別 3	港幣 0.5 元	以每張合約計算
股票期權行使或被行使費用		
行使費用(聯交所)	每張合約港幣 2 元	以每張合約計算
佣金(只限電話行使)	0.25%或最低收費 HK\$100，以較高者計算	按行使總值計算
交易徵費(證監會)	0.0027%	按行使總值計算
交易徵費(聯交所)	0.005%	按行使總值計算
印花稅	成交金額之 0.13%	按行使總值計算，不足 1 元亦作 1 元計算
交收費	行使總值之 0.006%或最低港幣 5 元。以較高者計算，最高港幣 200 元。	最低港幣 5 元，最高港幣 200 元
平台使用費	每單 15 港元	不適用



3. 中央結算服務 CCASS	
股份交收指示費用- 經由中央結算 (SI)	存入股票: 免費 提取股票: 按股份市值 (收取 / 交付之上日收市價) 之 0.25%計算 [最低收費 HK\$100]
股份交收指示費用- 經由個人投資者戶口 (ISI)	存入股票: 免費 提取股票: 按股份市值 (收取 / 交付之上日收市價) 之 0.15%計算 [最低收費 HK\$100]
每宗 [投資者交收指示] 的款項交收費用	每項電子付款指示收費 HK\$0.50
記名合資格證券的股份提取費用	每手收費 HK\$5, 最低收費為 HK\$20。每張過戶契據 (若有的話) 印花稅為 HK\$5 (其中每手 HK\$3.5 代香港結算收取)
收取/交付境外證券及無證書合資格證券的跨境轉移指示的調撥費	每項收取/交付指示收費 HK\$400
合資格不記名債務證券的股份提取費用	每張收費 HK\$200, 最低收費為 HK\$100 及最高收為 HK\$3,000
境外證券的股份提取費用	每項指示收費 HK\$800
強制性補購股份	每宗 HK\$200, 另加中央結算所及港交所費用

4. 資訊服務 Information Services	
互聯網即時股票報價服務 (包括網上交易)	港股: 恆生指數 - 每月收費 HK\$15 香港證券交易所 Lv1 - 每月收費 HK\$130 香港證券交易所 Lv2 - 每月收費 HK\$225

5. 帳戶服務 Account Maintenance	
股份託管費 (按每戶口在月底之股份數結餘計算費用)	每年收費 0.1%
附寄結單服務的中央結算獨立股份戶口	每月收費 HK\$20
加印客戶資料 - 例如: 提貨單 (以提貨日起兩個月內豁免)	按照提倉成本及每單處理收費\$300
影印單據	每張 HK\$3
提供資產證明	每份 HK\$100
加印月結單	三個月內免費, 超過三個月每份 HK\$100
快遞郵件	順豐快遞行政費用 HK\$100
交易確認函	每份 HK\$500



6. 代理人服務 Nominee Services	
股票過戶登記	每手 HK\$2 (以香港過戶處代理有限公司名義登記) (其中每手 HK\$1.5 代香港結算收取)
代收現金股息或以股代息	按收取股息總額之 0.5%，最低收費 HK\$40 (股息稅額外按市場標準)
代收紅股	每手 HK\$1，最低收費 HK\$40，最高 HK\$2,000
代收供股權	免費
行使牛熊證 / 強制贖回牛熊證	手續費每手 HK\$1，最低收費 HK\$40，最高 HK\$2,000，另加中央結算及港交所費用，每手費用為 HK\$0.8
代行使供股權/認股證 / 認股權證	手續費 HK\$50，另加中央結算及港交所費用，每手費用為 HK\$0.8
代申請額外供股	每份申請手續費 HK\$50
現金 / 股份收購、強制收購、股票私有化	按收取金額總值之 0.25%，最低收費 HK\$40，另加中央結算及港交所費用，每手費用為 HK\$0.8
股票合併、股票分拆、股票轉換	免費
股份撤銷在創業版 / 主版上市	免費
代追收股息 / 紅股	按照中央結算成本扣除及按每隻股票手續費 HK\$1,000

7. 其它服務 Other Services	
代支服務收費 (公司行動服務/銀行本票等)	按照成本扣除及每宗處理收費 HK\$100
退票	每宗處理收費 HK\$200
報失支票 (終止支票付款費)	按照成本費用扣除及手續費 HK\$100
補發支票 (發出後超過兩星期)	每宗處理收費 HK\$100
匯出款項 (轉帳、電匯等)	手續費 HK\$50，另加銀行手續費
匯入款項 (轉帳、電匯等)	公司保留向客戶收取因匯入款項引致之銀行手續費的權利

備註：

1. 本公司之最優惠利率將根據中國銀行(香港)釐訂之最優惠利率作為參考而更改。
2. 本公司保留隨時調整上述收費之一切權利，所有收費以本公司官方網站公佈為準，不作另行通知。



香港證券服務收費表 Hong Kong Securities Service Charges

1. 證券交易服務 Securities Trading Services		
Commission	Negotiable	
HKSAR Government Stamp Duty	0.13% of transaction amount (round up to the nearest dollar)	
SFC Transaction Levy	0.0027% of transaction amount	
FRC Transaction Levy	0.00015% of transaction amount (effective from 1 Jan 2022)	
HKEX Trading Fee	0.00565% of each transaction amount	
CCASS Settlement Fee	0.005% of transaction amount (minimum HKD 5.5)	
Cash Account Overdue Settlement Interest	Prime Rate [Note 1] + 5%	
Margin Account Overdue Settlement Interest	Prime Rate [Note 1] + 3%	
System Fee	HKD \$15 per order	
2. 股票期權佣金及收費 Stock Option Commission & Fee		
Service Item	Fee	Note
Commission for Phone Trade	0.25% or minimum charge of HK\$100 , subject to a higher charge	Under the following conditions, the system will automatically combine orders: the same stock option contract placed on the same day (same month & same price) and same direction (one way buying/selling)
Trading Tariff (HKEX)		
Tier 1 Stock Options	HK \$3.0 per contract per side	
Tier 2 Stock Options	HK \$1.0 per contract per side	
Tier 3 Stock Options	HK \$0.5 per contract per side	
Fees for Exercise/Being Exercised		
Exercise Fee (CCASS)	HK \$2 per contract	
Commission (Phone Exercise only)	0.25% or minimum charge of HK\$100 of total value of exercise, subject to a higher charge	
Transaction Levy (HK SFC)	0.0027% of total value of the exercise	
Trading Fee (HKEX)	0.005% of total value of the exercise	
Stamp Duty (HK Gov)	0.13% of Transaction Amount of total value of the exercise, round up to the nearest dollar	
Settlement Fee	0.006% of total value of the exercise or minimum charge of HK\$5, subject to a higher charge, with maximum charge of HK\$200	
System Fee	HKD \$15 per order	



3. 中央結算服務 CCASS	
Settlement Instruction Fee	Receive: Waived Deliver: 0.25% of the market value of the shares (Based on the closing price of the previous day) [Minimum charge HK\$100]
Investor Settlement Instruction Fee	Receive: Waived Deliver: 0.15% of the market value of the shares (Based on the closing price of the previous day) [Minimum charge HK\$100]
Settlement Fees for Payment of Each Investor Settlement Instruction	HK\$0.5 for each electronic payment instruction
Stock Delivery Fee for Payment of Each Investor Settlement Instruction	HK\$5 per board lot, with the minimum charge of HK\$20. Each transfer deed (if any) has a stamp duty of HK\$5. (HK\$3.5 per board lot will be collected on behalf of HKSCC)
Transfer Fees for Cross-Border Transfer Instructions of Overseas Securities and Uncertified Eligible Securities	HK\$400 for each received/delivered instruction
Share Withdrawal Fees for Eligible Bearer Debt Securities	HK\$200 for each ticket, with the minimum charge of HK\$100 and the maximum charge of HK\$3,000.
Share Withdrawal Fees for Foreign Securities	HK\$800 for each instruction
Mandatory Supplement Share Purchase	HK\$200 for each transaction, with additional fee charged by CCASS and HKEX

4. 資訊服務 Information Services	
Internet Real-Time Stock Quote Service (Including Online Transactions)	Hong Kong Stock Market: Hang Seng Index: HK\$15 per month Hong Kong Stock Exchange Lv1: HK\$130 per month Hong Kong Stock Exchange Lv2: HK\$225 per month

5. 帳戶服務 Account Maintenance	
Share Custody Fee	0.1% Annual Fee (according to the shares in each account at the end of the month)
CCASS Segregated Share Account with Statement Service	HK\$20 per month
Reprint Customer Information, e.g. Bill of Lading (exempt within two months from the date of delivery)	HK\$300 according to the deliver cost and processing cost of each order
Photocopy of Receipt	HK\$3 each copy
Asset Proof Support	HK\$100 each copy
Reprint Monthly Statement	Free of charge in the first 3 months, after that HK\$100 for each copy
Mail Service	HK\$100 administrative cost charged by SF express
Trade Confirmation (on special request)	HK\$500 each request



6. 代理人服務 Nominee Services	
Scrip Fee	HK\$2 per board lot (registered in the name of Hong Kong Registrar Agency Limited) (HK\$1.5 per board lot will be collected on behalf of HKSCC)
Cash Dividends or Scrip Dividends	0.5% of the total dividend amount, with minimum charge of HK\$40 (the dividend tax is charged based on market standards)
Bonus Shares	Handling Fee: HK\$1 per board lot, with the minimum charge of HK\$40 and maximum charge of HK\$2,000
Receive Rights	Waived
CBBC/Mandatory Redemption of CBBC Subscription	Handling Fee: HK\$1 per board lot, with the minimum charge of HK\$40 and maximum charge of HK\$2,000, additional transaction cost HK\$0.8 per board lot charged by CCASS and HKEX
Fees for Rights/Warrants/Warrants Subscription	Handling fee: HK\$50, additional transaction cost HK\$0.8 per board lot charged by CCASS and HKEX
Additional Rights Issue Application	HK\$50 Handling Fee: HK\$50 for each application
Cash/Share Acquisition, Compulsory Acquisition, Stock Privatization	0.25% of the total value, with the minimum charge of HK\$40, additional transaction cost HK\$0.8 per board lot charged by CCASS and HKEX
Stock Merger, Split or Conversion	Waived
Share Withdrawal on the GEM/Main Board	Waived
Dividend Collection/Bonus Shares	HK\$1,000 handling fee for each stock after deducting the CCASS cost

7. 其它服務 Other Services	
Service Charge (Corporate Mobile Services/Cashier's Check, etc.)	HK\$100 each case after deducting the cost
Refund	HK\$200 each case
Report Lost Cheque (Termination of Cheque Payment Fee)	HK\$1000 handling fee after deducting the cost
Reissue Cheque (Two Weeks after Issued)	HK\$100 for each case
Outward Payment (CHATS, Remittance, etc.)	Handling Fee: HK\$50 with additional transaction cost charged by banks
Inward Payment (CHATS, Remittance, etc.)	The company reserves the right to charge customers for banks handling fees due to inward payments

Note:

1. The company's prime interest rate will be changed based on the prime interest rate by Bank of China (Hong Kong) as a reference.
2. The company reserves all rights to adjust the above charges at any time. All charges are subject to the announcement on the company's official website without prior notice.